

01-26-01

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	<b>068354.0996</b>	Total Pages	<b>42</b> , and 2 checks
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**First Named Inventor or Application Identifier**

ELLISON, et al.

Express Mail Label No. EL477922449US

**ADDRESS TO:**

**ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, D.C. 20231**

## **APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

## **ACCOMPANYING APPLICATION PARTS**

<p>1. [ X ] Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. [ X ] Specification <span style="float: right;">Total Pages [ 28 ]</span> <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claims(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>3. [ X ] Drawing(s) (35 USC 113) <span style="float: right;">Total Sheets [ 4 ] Total Pages [ 32 ]</span></p> <p>4. [ X ] Oath or Declaration       <ul style="list-style-type: none"> <li>a. [ X ] Newly executed (original or copy)</li> <li>b. [ ] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) <b>[Note Box 5 below]</b> <ul style="list-style-type: none"> <li>i. [ ] <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>5. [ ] Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. [ ] Microfiche Computer Program (Appendix)</p>	<p>7. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. [ ] Computer Readable Copy</li> <li>b. [ ] Paper Copy (identical to computer copy)</li> <li>c. [ ] Statement verifying identity of above copies</li> </ul> <p>8. [ X ] Assignment Papers (cover sheet &amp; document(s))</p> <p>9. [ ] 37 CFR 3.73(b) Statement       <ul style="list-style-type: none"> <li>[ X ] Power of Attorney <i>(when there is an assignee)</i></li> </ul> </p> <p>10. [ ] English Translation Document (if applicable)</p> <p>11. [ ] Information Disclosure Statement (IDS)/PTO-1449       <ul style="list-style-type: none"> <li>[ ] Copies of IDS Citations</li> </ul> </p> <p>12. [ ] Preliminary Amendment</p> <p>13. [ X ] Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>14. [ ] Small Entity Statement(s)       <ul style="list-style-type: none"> <li>[ ] Statement filed in prior application. Status still proper and desired</li> </ul> </p> <p>15. [ ] Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. [ X ] Other:  <i>Check #725776 in the amount of \$710 for filing fee.</i>  <i>Check #725778 in the amount of \$40 for Assignment Recordation.</i></p> </p>
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17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

[ ] Customer Number or Bar Code Label (*Insert Customer No. or Attach bar code label here*) or [X] Correspondence address below

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	901 LOUISIANA STREET				
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MTI-1653

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<b>FEE TRANSMITTAL</b>				Complete if Known Application Number Filing Date First Named Inventor Group Art Unit Examiner Name																																																																																																																																																																																															
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1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account No.: 02-0383 Deposit Account Name: Baker Botts L.L.P.				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 15%;">Large Entity Fee Code</th> <th style="text-align: left; width: 15%;">Entity Fee (\$)</th> <th style="text-align: left; width: 15%;">Small Entity Fee Code</th> <th style="text-align: left; width: 15%;">Entity Fee (\$)</th> <th style="text-align: left; width: 40%;">Fee Description</th> <th style="text-align: right; width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>\$</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>\$</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexam</td><td>\$</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>\$</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1st month</td><td>\$</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within 2nd month</td><td>\$</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension to reply within 3rd month</td><td>\$</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension to reply within 4th month</td><td>\$</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension to reply within 5th month</td><td>\$</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td>\$</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td>\$</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>\$</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>\$</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td>\$</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td>\$</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td>\$</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td>\$</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>\$</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional apps.</td><td>\$</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Dis Stmt.</td><td>\$</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$ 40.00</td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>\$</td></tr> <tr><td>149</td><td>760</td><td>249</td><td>380</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>\$</td></tr> <tr><td colspan="4"></td><td>Other fee (specify) _____</td><td>\$ _____</td></tr> <tr><td colspan="4"></td><td>Other fee (specify) _____</td><td>\$ _____</td></tr> <tr> <td colspan="4"></td> <td style="text-align: right;">*Reduced by Basic Filing Fee Paid</td> <td style="text-align: right;">SUBTOTAL (3) \$ 40.00</td> </tr> <tr> <td colspan="8" style="text-align: center; padding: 5px;"> <b>PLEASE NOTE: Two Separate checks are enclosed for:</b>          Check #725776 \$ 710.00      <b>Application Fee</b>          Check #725778 \$ 40.00      <b>Assignment Recordation Fee</b> </td> </tr> </tbody> </table>				Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	\$	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$	139	130	139	130	Non-English specification	\$	147	2,520	147	2,520	For filing a request for reexam	\$	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$	115	110	215	55	Extension for reply within 1st month	\$	116	380	216	190	Extension for reply within 2nd month	\$	117	870	217	435	Extension to reply within 3rd month	\$	118	1,360	218	680	Extension to reply within 4th month	\$	128	1,850	228	925	Extension to reply within 5th month	\$	119	300	219	150	Notice of Appeal	\$	120	300	220	150	Filing a brief in support of an appeal	\$	121	260	221	130	Request for oral hearing	\$	138	1,510	138	1,510	Petition to institute a public use proceeding	\$	140	110	240	55	Petition to revive - unavoidable	\$	141	1,210	241	605	Petition to revive - unintentional	\$	142	1,210	242	605	Utility issue fee (or reissue)	\$	143	430	243	215	Design issue fee	\$	144	580	244	290	Plant issue fee	\$	122	130	122	130	Petitions to the Commissioner	\$	123	50	123	50	Petitions related to provisional apps.	\$	126	240	126	240	Submission of Information Dis Stmt.	\$	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$ 40.00	146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	\$	149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	\$					Other fee (specify) _____	\$ _____					Other fee (specify) _____	\$ _____					*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) \$ 40.00	<b>PLEASE NOTE: Two Separate checks are enclosed for:</b> Check #725776 \$ 710.00 <b>Application Fee</b> Check #725778 \$ 40.00 <b>Assignment Recordation Fee</b>							
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Typed or Printed Name		PAUL N. KATZ				Registration Number	35,917																																																																																																																																																																																												
Signature		<i>Paul N. Katz</i>		Date	01/25/01		Deposit Account User ID																																																																																																																																																																																												